

## CONTRACT AMENDMENT: MASTER SERVICES AGREEMENT Work Authorization (RFP#2020-08-03) (Suma Soft Pvt. LTD.)

Master Services Agreement Work Authorization RFP#2020-08-03 between Suma Soft Pvt. Ltd. ("Contractor") and Western Climate Initiative, Inc. ("WCI, Inc."), which was executed by the parties on July 27, 2020, is hereby further amended as follows:

### Summary of Changes

Amendment Number	005
Effective Date for Amendment	April 28, 2022
Current Agreement Amount	(USD)
Changes to the Agreement Amount	(USD)
Amended Maximum Agreement Amount	(USD)
Previous Agreement Term	Beginning on January 28, 2021 and ending on July 31, 2022
New Agreement Term	Beginning on April 28, 2022 and ending on December 31, 2024
Extension of Tasks	Penetration Testing/DevSecOp Services

### Purpose of this Amendment

Extend the term of the contract so Contractor can continue providing Penetration Testing and DevSecOp services, security support services and incident response efforts for platform completion.

### Specific Terms and Conditions which Constitute the Amendment

The agreement referenced above is modified as follows:

- The agreement amount is increased by (USD) for a total contract amount of (USD).
- The Term of the Agreement is extended to December 31, 2024.

### **Explanation of Changes**

The development of WCI, Inc. consolidated Platform is still in progress and includes Phase 1. The Auction Project; Phase 2. The Registry Project; and Additional Development Projects. Penetration testing and DevSecOps services are needed to ensure platform security. At WCI, Inc.'s request, Contractor will provide additional security services for platform completion. The Upcoming



### Contract Amendment Master Services Agreement: Suma Soft PVT. LTD

Project's Work Schedule timelines, milestones, and deliverables will be communicated to Contractor as each specific Statement of Work becomes available. WCI, Inc. consolidated Platform must be flexible and expandable to support the evolving needs of its Participating Jurisdictions and the onboarding of new jurisdictions that join in the future.

### Phase 1. Auction Project: General Overview

Refer to Exhibit A of the current Agreement.

### Phase 2. Registry Project: General Overview

The WCI, Inc. centralized market Registry will be the official record used to issue, transfer, track, and retire compliance instruments in accordance with the regulatory requirements of the Participating Jurisdictions. As of the end of December 2021, in the current registry there were approximately 960 entities registered.

The Registry will be used to register market participants and track compliance instruments (e.g., emissions allowances and offsets) from the point of issuance by Participating Jurisdictions to transfer to regulated GHG emitters and other voluntary market participants, transfers among regulated GHG emitters and other voluntary market participants and final compliance retirement by regulated entities. The Registry should allow transfers of fungible instruments between linked programs and prevent transactions between unlinked programs.

The Registry will be designed to simplify the participation in the cap-and-trade program for market participants, jurisdiction staff, and contractors involved in implementing cap-and-trade programs within Participating Jurisdictions. It should accommodate the primary languages of each Participating Jurisdiction, currently English for California, Washington and Nova Scotia, and in English and French for Québec participants, but not limited to these languages.

WCI, Inc. estimates to start developing the Registry Project Development in the second quarter of 2022. This effort is expected to be a multi-year project, which will be segmented and further described in the future scopes of work progressively released by WCI, Inc.

### Additional Development Projects

During the course of the master services agreement, WCI, Inc. may release additional software development Projects on an as-needed basis.



### Contract Amendment Master Services Agreement: Suma Soft PVT. LTD

### **Approvals**

Contractor

This document and any attachments described herein constitute an amendment to the above numbered agreement. All provisions of that contract, except those which are explicitly changed by this amendment, shall remain in full force and effect. By signing, the Contractor and WCI, Inc. do hereby accept and approve this amendment.

signature on file	4/29/2022
Authorized Signature	Date
Chandrasekaran Manivannan	President
Name	Title
Western Climate Initiative, Inc.	
signature on file	4/29/2022
Authorized Signature	Date
Greg Tamblyn	Executive Director
Name	Title



# CONTRACT AMENDMENT Penetration Testing Statement of Work for MSA #2020-08 RFP #2020-01 Enterprise Solutions IT Professional Services

July 27, 2020

### STANDARD AGREEMENT AMENDMENT

("Amendment")

AGREEMENT NUMBER 2020-08-03

 This Amendment to the above referenced Agreement is entered into between Western Climate Initiative, Inc. (WCI, Inc.) and the Contractor named below:

CONTRACTOR'S NAME

SUMA SOFT PVT. LTD.

Effective Date of Amendment is: July 27, 2020

- The maximum amount of the Agreement is:
- 4. The parties agree to amend the Agreement and incorporate the following documents (and any documents or exhibits attached thereto) set forth below. All capitalized terms not defined herein are given the meanings set forth in the Agreement.

Amendment to the Agreement

Exhibit A: Attachment A - Scope of Work and Work Authorization

Exhibit B: Section C (Auction Project Budget Detail) of Attachment B

Exhibit C: Attachment F - Contractor's Technical and Cost Proposal for the

Auction Project

#### IN WITNESS WHEREOF, this Amendment has been executed by the parties hereto.

	CONTRACTOR	WCI, Inc. Use Only
CONTRACTOR'S NAME (if other than SUMA SOFT PVT, LTD.	an individual, state whether a corporation, partnership, etc.)	
BY (Authorized Signature)	DATE SIGNED(Do n type) 28 July 2020	
signature on file		
PRINTED NAME AND TITLE OF PERS		
C. Manivannan, President	7	-
Suma Center, 2 <sup>nd</sup> Floor, Era	indwane, Pune-411 004, India	
	CONTRACTEE	1
CONTRACTEE'S NAME Western Climate Initiative	Inc.	1
signature on file	DATE SIGNEDIPO NO Oppo) 7/2-7/2-0	
Greg Tamblyn, Executive	Director	
ADDRESS		1
980 9th Street, Suite 1600, S	Sacramento CA 95814	1

### WESTERN CLIMATE INITIATIVE, INC. AMENDMENT TO MASTER SERVICES AGREEMENT

This Amendment to the Master Services Agreement ("Amendment") is made and entered into effective as of July 27, 2020 ("Amendment Effective Date") by and between WESTERN CLIMATE INITIATIVE, a nonprofit public benefit corporation ("WCI, Inc."), and SUMA SOFT PVT. LTD. (Contractor"). Capitalized terms used and not otherwise defined herein shall have their respective meanings set forth in the Agreement (as defined below).

#### **RECITALS**

- A. On June 26, 2020, WCI, Inc. and Contractor entered into that certain Master Services Agreement ("**Agreement**").
- B. WCI, Inc. and Contractor now desire to amend the Agreement to include Attachment A, Scope of Work and Work Authorization for Contractor to provide the requested penetration testing services related to the Auction Project and to make other conforming changes.

In consideration of the promises and the mutual covenants and agreements set forth below, the parties hereto agree as follows:

### **AGREEMENT**

- 1. **Renumber the Agreement**. The Agreement is hereby renumbered to be "Contract No. 2020-08" and all references to "Contract No. 2020-07" are hereby amended.
- Attachment A (Scope of Work and Work Authorization). WCI, Inc. and Contractor agree
  to insert Attachment A: Scope of Work and Work Authorization and all of the documents and
  exhibits attached thereto (attached to this Amendment as Exhibit A) into the Agreement in
  their entirety. The duration of the Work Authorization is from July 27, 2020 through January
  31, 2020.
- 3. <u>Amendment to Attachment B (Invoicing and Payment Terms)</u>. WCI, Inc. and Contractor agree that Attachment B shall be amended to add <u>Section C, Auction Project Budget Detail</u> (attached to this Amendment as Exhibit B) into the Agreement.
- 4. Attachments F and G (Contractor's Technical Proposal and Cost Proposal for the SOW/Work Authorization). WCI, Inc. and Contractor agree to insert Attachment F: Contractor's Technical Proposal and Cost Proposal for the Auction Project (attached to this Amendment as Exhibit C) into the Agreement in its entirety. All references to Attachment F are hereby amended to refer collectively to Contractor's Technical Proposal and Cost Proposal, and all references to Attachment G are hereby deleted from the Agreement.
- 5. <u>Counterparts</u>. This Amendment may be executed in several counterparts, each of which so executed shall constitute one and the same instrument. A signed copy of this Amendment delivered electronically shall be deemed to have the same legal effect as delivery of an original signed copy of this Amendment.

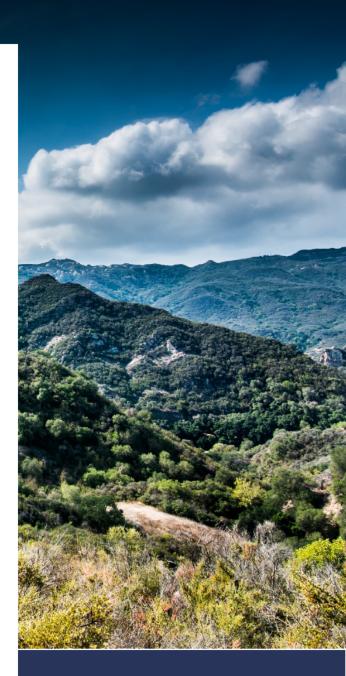
6. <u>Terms and Conditions</u>. Other than as set forth above, WCI, Inc. and Contractor hereby confirm the terms and conditions of the Agreement shall remain unchanged, and the Agreement shall remain in full force and effect.

### Exhibit A

# Auction Platform Statement of Work Penetration Testing

Request for Proposal RFP#: 2020-01

AUCTION PLATFORM PROJECT
STATEMENT OF WORK (SOW) RELEASE:
JUNE 12, 2020







### Auction Project Platform – Statement of Work- Penetration Testing

### **Table of Content**

1	Overview of the Auction Platform Project	3
2	Services to be Provided	3
3	Contractor Deliverables	5
3.2.	Kick-off Meeting Deliverables Technical deliverables:	6
	Project:	
4.1. 4.2.	Project DeliverablesPenetration Testing Deliverables	
	Timeline and Milestones	

### Exhibit B

### C. Auction Project Budget Detail

A top-level summary of the fees for the Auction Project is as follows:

Activity	Cost
Penetration	
Testing/DevSecOps	
Scope Change Budget	
Support & Maintenance	
TOTAL Not-to-Exceed	
COST	

Budget Detail for each deliverable and milestone for this task will be added to this Exhibit once finalized by the parties.

All Change Orders/Scope change shall be in accordance with WCI, Inc.'s Standard Terms and conditions.

### ATTACHMENT C CONTRACTOR'S TECHNICAL AND COST PROPOSAL

# WESTERN CLIMATE INITIATIVE (WCI), INC. RFP NO. – 2020-21 AUCTION PLATFORM – PENETRATION TESTING

**Proposal for Penetration Testing Services** 

Date - 09-07-2020

**Suma Soft Private Limited** 

### Suma Soft Pvt. Ltd.

CIN No: U72200PN2000PTC014900



July 09, 2020

To, Western Climate Initiative, Inc. 980 Ninth Street, Suite 1600 Sacramento, CA 95814

Subject – Proposal for Penetration Testing services (RFP No. - 2020-21)

Dear Sir,

At the outset, let me thank WCI, Inc. for providing us with the opportunity to participate in the said RFP. As per the published schedule, we have completed our pre-bid presentation earlier this week and after carefully examining the Scope of Work (SoW) document, we offer to deliver the penetration testing services in conformity with the said RFP document.

The enclosed proposal is submitted in response to RFP No. 2020-01. Through submission of this proposal, we agree to all the terms and conditions of the solicitation, and we understand and agree that any inconsistent provisions in our response may result in a lower score, up to and including disqualification. We have carefully read and examined the solicitation and have conducted such other diligence as was prudent and reasonable in preparing this response. We agree to be bound by statements and representation we make in our Response.

C. Manivannan
President