CONTRACT AMENDMENT
Software Development Statement of Work for MSA #2020-01
RFP #2020-01 Enterprise Solutions IT Professional Services
May 15, 2020
STANDARD AGREEMENT AMENDMENT  
(“Amendment”)  

1. This Amendment to the above referenced Agreement is entered into between Western Climate Initiative, Inc. (WCI, Inc.) and the Contractor named below:  

   CONTRACTOR’S NAME  
   Harbinger Systems Inc.  

2. Effective Date of Amendment is: May 15, 2020  

3. The maximum amount of the Agreement is:  

4. The parties agree to amend the Agreement and incorporate the following documents (and any documents or exhibits attached thereto) set forth below. All capitalized terms not defined herein are given the meanings set forth in the Agreement.  

   Amendment to the Agreement  
   Exhibit A: Attachment A – Statement of Work and Work Authorization  
   Exhibit B: Section C (Auction Project Budget Detail) of Attachment B  
   Exhibit C: Attachment F – Contractor’s Technical and Cost Proposal for the Auction Project  

IN WITNESS WHEREOF, this Amendment has been executed by the parties hereto.  

CONTRACTOR  

<table>
<thead>
<tr>
<th>CONTRACTOR’S NAME (if other than an individual, state whether a corporation, partnership, etc.)</th>
<th>DATE SIGNED (Do not type)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Harbinger Systems Inc.</td>
<td>5/15/2020</td>
</tr>
</tbody>
</table>

Signature on File  

authorized Signature  

SEEMA A. CHAUDHRY  

ADDRESS  

16770 NE 79th Street, Suite 106, Redmond WA 98052  

CONTRACTEE  

<table>
<thead>
<tr>
<th>CONTRACTEE’S NAME</th>
<th>DATE SIGNED (Do not type)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Western Climate Initiative, Inc.</td>
<td>5/15/2020</td>
</tr>
</tbody>
</table>

Signature on File  

PRINTED NAME AND TITLE  

Greg Tamblyn, Executive Director  

ADDRESS  

980 9th Street, Suite 1600, Sacramento, CA 95814
Exhibit A

{Details have been removed from the public document for business confidentiality and business sensitive information}
Auction Platform Statement of Work

Request for Proposal RFP#: 2020-01

AUCTION PROJECT PLATFORM STATEMENT OF WORK (SOW) RELEASE: MARCH 20, 2020

WCI, Inc.
Western Climate Initiative, Inc.
# Table of Contents

1. Overview of the Auction Project Platform ................................................................. 3
2. Services to be Provided .......................................................................................... 3
3. Contractor Deliverables ......................................................................................... 4
   3.1. Kick-off Meeting Deliverables ........................................................................ 5
   3.2. Technical deliverables: .................................................................................... 5
   3.3. Project: ............................................................................................................. 7
4. WCI, Inc. Deliverables .......................................................................................... 10
   4.1. Project Deliverables ......................................................................................... 10
   4.2. Development Deliverables ............................................................................. 10
5. Project Plan and Work Schedule ......................................................................... 11
6. Support Services .................................................................................................. 13
7. Confidential and Business Sensitive .................................................................... 2
C. Auction Project Budget Detail

A top-level summary of the fees for the Auction Project is as follows:

<table>
<thead>
<tr>
<th>Activity</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>Platform Development</td>
<td>$</td>
</tr>
<tr>
<td>Scope Change Budget</td>
<td>$</td>
</tr>
<tr>
<td>Support &amp; Maintenance</td>
<td>$</td>
</tr>
<tr>
<td><strong>TOTAL Not-to-Exceed COST</strong></td>
<td>$</td>
</tr>
</tbody>
</table>

Budget Detail for each deliverable and milestone for this task will be added to this Exhibit once finalized by the parties.

Support & Maintenance Costs

Costs set forth above do not include hosting costs, procurement, or maintenance of setup which will be approved by WCI, Inc. before being incurred.

All licenses (if applicable) are not included in the above costs which will be approved by WCI, Inc. before being incurred (if any).

Taxes, if applicable to any goods purchased, are not included in the above costs and will be charged separately.
Exhibit C

{Details have been removed from the public document for business confidentiality and competitiveness reasons.}
PROPOSAL FOR

Enterprise Solution – Professional IT Services
RFP No. 2020-01 – Auction Project SoW

May 4, 2020
Harbinger Systems, Inc.

Presented To:

WCI, Inc.
Cover Letter

To,

Western Climate Initiative, Inc.
980 Ninth Street, Suite 1600
Sacramento, CA 95814
(916) 449-9966

Subject: Response to Enterprise Solution Professional IT Services-Auction Platform Statement of Work

Dear WCI Personnel,

We at Harbinger Systems, Inc. are very pleased to participate in a bid for software development services solicited by Western Climate Initiative, Inc. via the RFP. We are delighted to submit our proposal in response to the same.

Harbinger Systems, Inc., a subsidiary of the Harbinger Group, is a global organization in business for the past 20 years with offices in the United States and India. It provides software technology services to United Nations, World Bank, US Army, Healthcare, Banking and Finance as well as hi-tech companies in the United States such as Microsoft, Cisco, HP and many more in over 60 countries. We also have several start-ups, small, and mid-sized companies as our clients.

WCI, Inc.’s initiative to develop its Auction Platform is of special interest to us, given the outreach of the platform and the value it proposes to its benefactors. At the same time, our expertise with web development, specifically with

This proposal and its annexures elaborate our offering to WCI, Inc.

On behalf of Harbinger Systems Inc.,

Regards,

Seema Chaudhary
Chief Alliance Officer
Harbinger Systems, Inc.

Date: April 15, 2020
Harbinger Systems, Inc.
Privacy Policy

This document contains proprietary and confidential information. All data submitted to the Western Climate Initiative, Inc. (henceforth referred to as WCI in this document) is provided in reliance upon its consent not to use or disclose any information contained herein except the in the context of business dealings with the Harbinger Group.

The recipient agrees not to duplicate or distribute or permit others to duplicate or distribute any material contained herein without Harbinger Group’s written consent.

NOTE: This document is valid for a period of 180 days.
Table of Contents

TABLE OF CONTENTS ........................................................................................................... 4

1 EXECUTIVE SUMMARY .................................................................................................... 5

2 TECHNICAL PROPOSAL TO AUCTION PROJECT BUSINESS REQUIREMENTS ................. 9

2.A RESPONSE TO AUCTION PROJECT QUESTIONNAIRE (ATTACHMENT A) ... ......................... 9
2.B PROPOSED SOLUTION - BUSINESS & TECHNICAL REQUIREMENTS (ATTACHMENTS B, C-G) .......................................................... 30
   2.B.1 Our Understanding of Requirements ........................................................................ 30
   2.B.2 Proposed Technical Solution .................................................................................... 38
2.C PROJECT DELIVERABLES ............................................................................................... 57
   2.C.1 Execution Methodology – Agile .............................................................................. 57
   2.C.2 Project Management Plan....................................................................................... 58
   2.C.3 Change Management ............................................................................................. 62
   2.C.4 Communication Plan ............................................................................................ 63
   2.C.5 Risk Management Plan ........................................................................................ 65
   2.C.6 Quality Assurance ............................................................................................... 67
   2.C.7 Team Structure ...................................................................................................... 71
   2.C.8 Deliverables .......................................................................................................... 72
   2.C.9 Pre-requisites ....................................................................................................... 73
   2.C.10 Assumptions ........................................................................................................ 73
   2.C.11 Out of Scope ....................................................................................................... 75
2.D TIMELINE WITH MILESTONES, DELIVERABLES, AND COST ........................................ 76
2.E RESUMES OF KEY PERSONNEL AND OTHER PERSONNEL ........................................ 79

3 FEE PROPOSAL FOR THE RFP ......................................................................................... 114

3.A THE APPROACH ........................................................................................................... 114
3.B ESTIMATED FEES ......................................................................................................... 115
   3.B.1 Milestones and Fees .............................................................................................. 115
   3.B.2 Estimated Cost Breakdown by Functional Area ..................................................... 118
   3.B.3 Cost Breakdown by Deliverable Group ................................................................... 119
3.C SUPPORT SERVICES .................................................................................................... 119
3.D TERMS & CONDITIONS ............................................................................................... 121

4 ANSWERS – CLARIFICATIONS FROM PRE-BID CONFERENCE ..................................... 122

5 ABOUT HARBINGER ........................................................................................................ 124

5.A PRODUCT ENGINEERING AND MODERNIZATION ................................................... 125
5.B TECHNOLOGY EXPERTISE ........................................................................................ 126
5.C CENTERS OF EXCELLENCE (COE) @HARBINGER .................................................. 126
5.D CLOUD SERVICES ...................................................................................................... 127
5.E UI/UX .......................................................................................................................... 128

6 CASE STUDIES .............................................................................................................. 129

6.A CASE STUDIES RELATED TO CLOUD BASED ENTERPRISE PLATFORM DEVELOPMENT ................................................................. 129
6.B CASE STUDIES RELATED TO CONTENT MANAGEMENT & LOCALIZATION .................................................................................. 134
6.C CASE STUDIES RELATED TO CHANGE MANAGEMENT ........................................... 137